

BRIAN J. LEITE

Scholar-Practitioner and former Senior Financial Services & Investment Executive with diverse experiences in Investment Analysis, Portfolio Management, Product Origination, Business Development and Team Management. Research interests include Behavioral Finance & Asset Pricing, Economic Freedom & Market/Business Activity and Sports Economics.

EDUCATION

DBA	DePaul University, Business Administration (Finance) Dissertation: “Does ESG matter? ESG scores and the stock price response to new information” Committee: Vahap Uysal, PhD, Betsy Laydon, PhD, Sanjay Deshmukh, PhD	May 2021
MBA	Providence College, Business Administration (Finance)	May 2002
BA	Rhode Island College, History (Early Modern Europe)	May 1995

TEACHING EXPERIENCE

Salve Regina University, Newport, RI January 2022 to Present
Assistant Professor, Finance, Department of Business & Economics

- *Courses Taught:*
Introduction to Financial Management
Advanced Financial Management and Modeling
Investment Planning
Portfolio Management
Personal Financial Planning
Investment Analysis & Portfolio Management (Graduate)
- *Courses Created:*
MGT430 Portfolio Management - Advanced Undergraduate applied investment management course integrating CFA material and ethical considerations.

MGT563 Investment Analysis & Portfolio Management – Graduate level investment management course for MBA program (Finance concentration).

Northwestern University, Evanston, IL August 2005 to December 2005
Adjunct Professor, School of Continuing Studies

- Part-time instructor, Investments & Financial Literacy

PUBLICATIONS AND RESEARCH

Journal Publications

Leite, Brian J and Uysal, Vahap B. (2023) “Does ESG Matter to Investors? ESG Scores and the Stock Price Response to New Information.” *Global Finance Journal*, 57 (100851).

Witham, Adam and Leite, Brian. (2023) “Business is Hopping: The Effects of Deregulation on Southern Craft Beer.” *Journal of Regional Analysis & Policy*, 53 (1), 1-15.

Witham, Adam, Leite, Brian and Starzecki, Teresa. (2023) “Thrash to the Onion Patch: Boat-length and the Newport to Bermuda Race Results.” *Sports Economics Review*, 4 (100020).

Journal Papers in Review

Leite, Brian, Townsend, David, and Uysal, Vahap, B. “Investors, Sentiment, and New Information: How Sentiment Influences the Stock Price Response to Credit Rating Changes.”
Submitted to: *Behavioral Finance Journal*.

Starzecki, Teresa, Witham, Adam, and Leite, Brian. “Bucks or Berks: Assessing Favorableness on the River at the Henley Royal Regatta.”
Submitted to: *Journal of Sports Analytics*.

Research in Development

Leite, Brian, Witham, Adam, Leite, Brian and Starzecki, Teresa. “O Canada! Economic Freedom of the Provinces and Relative Economic Outcomes.”

Witham, Adam, Leite, Brian and Starzecki, Teresa. “The Most and Least-Discussed Sports: An Accounting of the Literature.”

Witham, Adam, Leite, Brian and Starzecki, Teresa. “Economic Freedom and Nobel Prize Winners.”

Uysal, Vahap B and Leite, Brian J. “Environmental News and Flows to Sustainable Mutual Funds.”

Selected Industry Publications and Media

Maloor, Srinivas, Sumsion, Brett, and Leite, Brian. (2017) “Using Regime-Based Analysis to Build a Resilient Glide Path.” *Fidelity Leadership Series*. (Industry Whitepaper)

Cohen, T., Nielson, D., Leite, B., and Browder, A., (2014) “Active Share: A Misunderstood Measure in Manager Selection.” *Fidelity Leadership Series*. (Industry Whitepaper)

Cohen, T., Desantis, J., Nielson, D., Leite, B., (2014) “Active Investing: The Cyclicity of Performance in the U.S. Large-Cap Equity Market.” *Fidelity Leadership Series*. (Industry Whitepaper)

Leite, B., Taylor, K., and Shaw, A. (2016) “Effective Plan Design and Glide Path Choices Can Help Drive Better Outcomes.” *ALM Benefits Pro Magazine*. <https://www.benefitspro.com/2016/09/27/effective-plan-design-and-glide-path-choices-can-h/?slreturn=20230119175600>

“Expert Thoughts on Total Visa Credit Card”, *WalletHub* (2023) https://wallethub.com/edu/cc/total-visa-credit-card-review/25995#expert=Brian_Leite

“The Future of Target-Date Funds,” *401k TV* (2016) <https://401ktv.com/?s=brian+leite>

“DC Plan Sponsors Hyper-Focused on Fees,” *Employee Benefit News* (2015) <https://www.benefitnews.com/news/dc-plan-sponsors-hyper-focused-on-fees>

PRESENTATIONS AND INVITED LECTURES

Paper Presentation, “O Canada! Economic Freedom of the Provinces and Entrepreneurial Activity.,” *The Association of Private Enterprise Education* (April 9, 2024).

Paper Presentation, “Evaluating the Impact of Boat Length on Performance in the Newport Bermuda Race.,” *The Association of Private Enterprise Education* (April 18, 2023).

Paper Presentation, “Does ESG Matter to Investors? ESG Scores and the Stock Price Response to New Information,” *Society of North American Scholars Conference* (October 8, 2022).

Paper Presentation, “Does ESG Matter?” *DePaul University Financial Research Seminar Series* (November 16, 2021).

Discussant, “Do Consumers Care About Corporate Social Responsibility? Evidence from Retail Markets” by Jiaying Wei and Steven Chong. *Global Finance Journal Conference on CSR, the Economy and Financial Markets* (November 9, 2022).

Invited Lecture, “Careers in Finance and Investment Management,” *DePaul University / Driehaus Capital Management Summer Investment Challenge* (June 21, 2021)

Invited Lecturer and Judge, “Student Stock Pitch,” DePaul University (May 7, 2020)

PROFESSIONAL EXPERIENCE

Deputy Chief Investment Officer - Fidelity Institutional Wealth Advisor; Boston, MA; April 2020-December 2021

- *Created and led the Investment Office for new Fidelity registered investment advisor supporting institutional/intermediary clients.*

Head of Consultant Relations - Fidelity Institutional Asset Management; Smithfield, RI; August 2017-April 2020

- *Responsible for the strategy, oversight, and execution of Fidelity’s investment & DC Consultant Relations effort across North America, and co-leader of the Institutional distribution business.*

Head of Institutional Portfolio Management - Fidelity Management & Research; Boston, MA; April 2012-August 2017

- *Led and set strategic direction for thirteen Institutional Portfolio Managers representing Fidelity’s Equity, High-Income, and Asset Allocation investment capabilities.*

Vice President & Strategic Segment Head of Investment Consulting – Fidelity Workplace Solutions; Chicago, IL & Boston, MA; October 2000-April 2012

- *Managed the team of senior Investment Consultants focused upon Fidelity’s largest Defined Contribution and Multi-Practice clients, representing approximately \$500 Billion in administered assets.*

Senior Investment Research Analyst - New England Securities Corporation / MetLife; Boston, MA; January 2000-October 2000

- *Served as a member of the firm’s Investment Committee performing portfolio analysis & management, quantitative research, and developing investment recommendations & firm asset allocation strategies.*

Senior Investment Performance Analyst - Russell/Mellon Analytical Services - The Boston Company; Everett, MA; February 1998-January 2000

- *Supported institutional and private client portfolios in the areas of investment performance, attribution analysis, portfolio analytics, and benchmarking/manager universes.*

Retirement Plan Specialist - Putnam Investments; Franklin, MA; February 1997-February 1998

- *Acted as a technical resource for broker-dealers, third-party administrators, shareholders, and internal departments concerning retirement plans, investment products, and ERISA, DOL, SEC, and IRS guidelines.*

Senior Loan Officer and Underwriter - Home Loan and Investment Bank, F.S.B; Warwick, RI; August 1995-February 1997

- *Originated and closed residential home-equity loans, reviewed property appraisals & titles, and performed borrower credit, debt ratio, and loan-to-value underwriting analysis while supervising a 35-person telemarketing department.*

PROFESSIONAL TRAINING / DESIGNATIONS

Chartered Financial Analyst (**CFA**) (2001)
 Certified Financial Planner (**CFP**) (2022)
 Certified Employee Benefit Specialist (**CEBS**) (2004)
 Retirement Plan Analyst (**RPA**) (2004)
 Group Benefits Analyst (**GBA**) (2004)
 CFA/HBS Investment Workshop; (2013); Harvard Business School, Boston, MA
 CFA Asset Allocation Seminar; (2006); Los Angeles, CA
 Certified Investment Management Analyst (**CIMA**) (2004)
 IMCA Alternative Investments Program (2007)
 FINRA **Series 7, 24 & 66** Licensed

PROFESSIONAL AFFILIATIONS

CFA Institute
 CFA Society of Providence
 CFA Society of Boston
 CFP Board
 Financial Management Association
 Association of Private Enterprise Education

SERVICE TO UNIVERSITY AND DEPARTMENT

Graduate Program Director, MBA (Appointed) (January 2023-Present)

Faculty Salary and Benefits Committee Chair (Elected) (Fall 2022-Present)

Graduate Program Curriculum Committee (Appointed) (Fall 2024-Present)

University Liaison to CFA Institute (Appointed) (Summer 2022-Present)

Salve Regina 403(b) Retirement Plan Fiduciary Committee (Appointed)
(May 2023-Present)

CFA Investment Challenge Competition Faculty Advisor (Fall 2024 – Spring 2025)

Visiting Professor & Graduate Program Director Search Committee –
Health Care Administration (Spring 2024)

Tenure-Track Faculty Search Committee - Economics (Fall 2024)

Tenure-Track Faculty Search Committee - Marketing (Fall 2023)

Tenure-Track Faculty Search Committee - Marketing (Fall 2022)

Business Administration Sub-Committee (Fall 2022-Fall 2023)
Chair of Committee responsible for enhancing the Salve Regina Business Administration Curriculum.

University Affiliation Program, CFA Institute (Fall 2022)
Led the effort to Affiliate the Finance programs at Salve Regina with the CFA Institute through the introduction of CFA material (including ethics) into the Curriculum. Affiliation benefits include student scholarships/sponsorship for Level I of the CFA Exam.

Finance Curriculum Sub-Committee (Spring 2022)
Co-Head of Committee responsible for improving the rigor and relevance of the Salve Regina Finance Major and introducing a Finance Minor.

Salve Regina Academic Open House Volunteer (Fall 2021 and Fall 2022)

Salve Regina Academic Admitted Students Presenter (Spring 2022 and Spring 2024)

MBA Graduate Advisor (January 2022-Present)
Primary academic advisor to approximately 90 graduate students.

First-Year Student Academic Advisor (Summer 2022)
Primary academic advisor to 12 incoming first-year undergraduate students.

SERVICE TO PROFESSION & COMMUNITY

Pension Board, Town of Narragansett, RI (January 2023-Present)

Peer-Reviewer: *International Journal of Finance & Economics* (Fall 2023-Present)

Peer-Reviewer: *Journal of Wealth Management* (Fall 2022-Present)

Advisory Board, DePaul Doctor of Business Administration Program (Fall 2021-Spring 2024)

Advisory Council, Providence College School of Business (2017)

Grader: Chartered Financial Analyst Exam (2024)

Grader: Chartered Financial Analyst Exam (2011)

Grader: Chartered Financial Analyst Exam (2010)

Education Committee, CFA Society of Chicago (2005-2006)

Financial Advisory Board, City of Naperville, IL (2003-2007).

Senior Military Intelligence Analyst; RI Army National Guard (1990-1999).

OTHER SKILLS

Bloomberg, Factset, MPI Stylus, Morningstar Direct, eVestment, Stata, SPSS

REFERENCES

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